

Freeze-Drying TCS Foods HACCP Plan Worksheet

Introduction:

Freeze-drying (lyophilization) is a popular method for rendering time/temperature control for safety (TCS) foods shelf stable by decreasing the water activity in a product to a level that no longer supports microbiological proliferation. Freeze-drying TCS foods has several benefits alongside increasing shelf-life, including nutrient and flavor preservation, appetizing appearance upon reconstitution, and reduced weight for storage/shipping.

Freeze-drying is the process by which water is removed from food products using sublimation. Sublimation is the instantaneous transformation of a solid to gas, without crossing through a liquid phase. In order to freeze-dry, the food product must be rapidly frozen to ensure that the available water in the products freezes into a very small crystalline structure. Once the product is frozen, it passes through the first “drying stage” in which a vacuum reduces the pressure around the food item and heat is applied, sublimating the ice crystals into steam. This step is the longest step in the process and removes the majority of the water from the food item. The resultant steam from this step is then condensed and removed via vacuum. The final step of the process, known as desorption, removes any residual liquid water by applying a high heat and vaporizing the water. This vapor is condensed and removed via vacuum.

The primary methods for freeze drying are either batch or continuous. In batch freeze-drying, an amount of food product is placed into the freeze-drying equipment and additional food cannot be added until the freeze-drying of the original product is complete. In continuous freeze-drying, the equipment used possesses a series of chambers that have locking doors to preserve the vacuum seal, even while additional food products are being added to begin the freeze-drying process.

When freeze-drying TCS foods, the final product may require validation by a processing authority before approval of the HACCP Plan is granted. Furthermore, your establishment must apply for a waiver (application is attached). A waiver is a written agreement that your establishment may utilize food safety controls not explicitly described in regulations, so long as they prevent a health hazard and are supported by third-party documentation. The definition of a waiver can be found in in [Section 010.885 of the Regulations of the Washoe County District Board of Health Governing Food Establishments](#).

Worksheet Instructions:

The following packet represents the minimum documentation required to ensure that the process of freeze-drying in a food establishment will result in a product that is safe for human consumption. A separate HACCP Plan must be completed for each special process and food product. Please read through and complete the entire worksheet. If a section does not apply to your operation, please write “N/A”. You may attach additional documentation to the end of the worksheet, as required. Additional materials and examples can be found on the [HACCP Plan Resources website](#).

Click the blue boxes to fill out each section of the worksheet. Any text added to the worksheet will overwrite the example text provided. Instructions for filling out specific sections of the worksheet are provided under the section headings, as necessary. Printed and handwritten worksheets will be accepted.

Before submitting this worksheet, please review the Freeze-drying of TCS Foods HACCP Checklist provided on the following page. Additional documentation that is not included in this worksheet may be required for your establishment, which must be submitted alongside this completed worksheet.

Incomplete plans will not be accepted or reviewed.

Freeze-drying TCS Foods HACCP Plan Checklist

		Required Documentation	Completed
Materials for New Food Establishments	Standard Applications	Application for a Permit to Operate	
		Food Establishment Review Form	
Materials included in this HACCP Worksheet	Process-Specific Facility Information	Request for Waiver and HACCP or Operational Plan Review Application	
		List of facilities that will be processing and/or storing food items produced using the special process	
		List of menu items and relevant ingredients used in the special process Please note: Each menu item must have separate third-party documentation; all third-party documentation must be submitted with this packet.	
		List of equipment and materials used in the special process	
		Process- Specific Description of methods used in this process	
		Detailed Process Flow Diagram, including all Critical Control Points (CCPs), for each food item prepared using the special process	
		Hazard Analysis Chart, including each step listed in the Process Flow Diagram, for each food item prepared using the special process	
		HACCP Chart, including all CCPs listed in the Process Flow Diagram, for each food item prepared using the special process	
	Employee Training Program and Standard Operating Procedures (SOPs)	Employee Health & Personal Hygiene SOP	
		Cleaning & Sanitizing SOPs for all applicable equipment: <ul style="list-style-type: none"> - Chemical Sanitizing Dishwasher - High Temperature Dishwasher - Three-Compartment Sink 	
		Employee Health and Exclusion Acknowledgement	
		Sample Product Labels (must be attached separately) Please note: Product labels must include a consumer advisory if freeze-drying raw meat products.	
	Required Records & Documentation	Process Logs <ul style="list-style-type: none"> - Freeze-drying Batch Log - All logs required by a Process Authority (not included in this packet) 	
		Documentation showing that raw pork products are free from <i>Trichinella Spiralis</i> if freeze-drying raw pork. Must be attached separately.	
		Sample Training Log for HACCP Plan and SOPs	
		Food Safety Checklist	

REQUEST FOR WAIVER and HACCP or OPERATIONAL PLAN REVIEW APPLICATION
Washoe County Health District
Regulations of the Washoe County
District Board of Health Governing Food Establishments

THE FEE FOR AN INITIAL HACCP or OPERATIONAL PLAN REVIEW IS \$ _____ DATE _____
NAME OF ESTABLISHMENT _____ PERMIT NUMBER _____
ADDRESS _____ CITY _____ ZIP _____
PERSON TO CONTACT _____ DAYTIME PHONE _____

I am submitting an Operational Plan and requesting a waiver for (select one):

Dogs on Outdoor Patio

I am submitting a HACCP Plan and requesting a waiver for (select all that apply):

- Smoking Food
 - Operating Live Molluscan Shellfish Tank
 - Curing Food
 - Custom Processing of Animals
 - Reduced Oxygen Packaging (with one barrier - refrigeration)
 - Use of Food Additives (sushi rice)
 - Sprouting Seeds or Beans
 - Other Food Preparation Method
-

Will process be used at more than one location? Yes No

If yes, list name(s) and permit number(s) _____

Will product be served at more than one location? Yes No

If yes, list name(s) and permit number(s) _____

How will the product be sold? (Select all that apply): Retail Wholesale

***Note:** For each of the above processes selected, a HACCP plan or Operational Plan containing all of the required documentation as outlined in the WCHD *Checklist for General HACCP/Operational Plan Requirements* and the process specific checklist if applicable must accompany this application. Failure to submit required documentation may result in the rejection of the Waiver and associated HACCP/Operational plan.

Signature _____

Date _____

Business Name: _____

Address: _____

WCHD Health Permit Number: _____

Will this process be used at more than one location? **Yes** **No**

If yes, list the names and permit numbers of each location: _____

List all supporting documentation from certified process authority or other accredited third-party and corresponding food items that are attached to this plan. Please attach all supporting documentation at the end of this packet.

List all menu items/ingredients utilized for each food item prepared using this special process, including:

Please Note: All menu items used in this process must be associated with process-specific supporting documentation from a third-party authority. Supporting documentation must be attached.

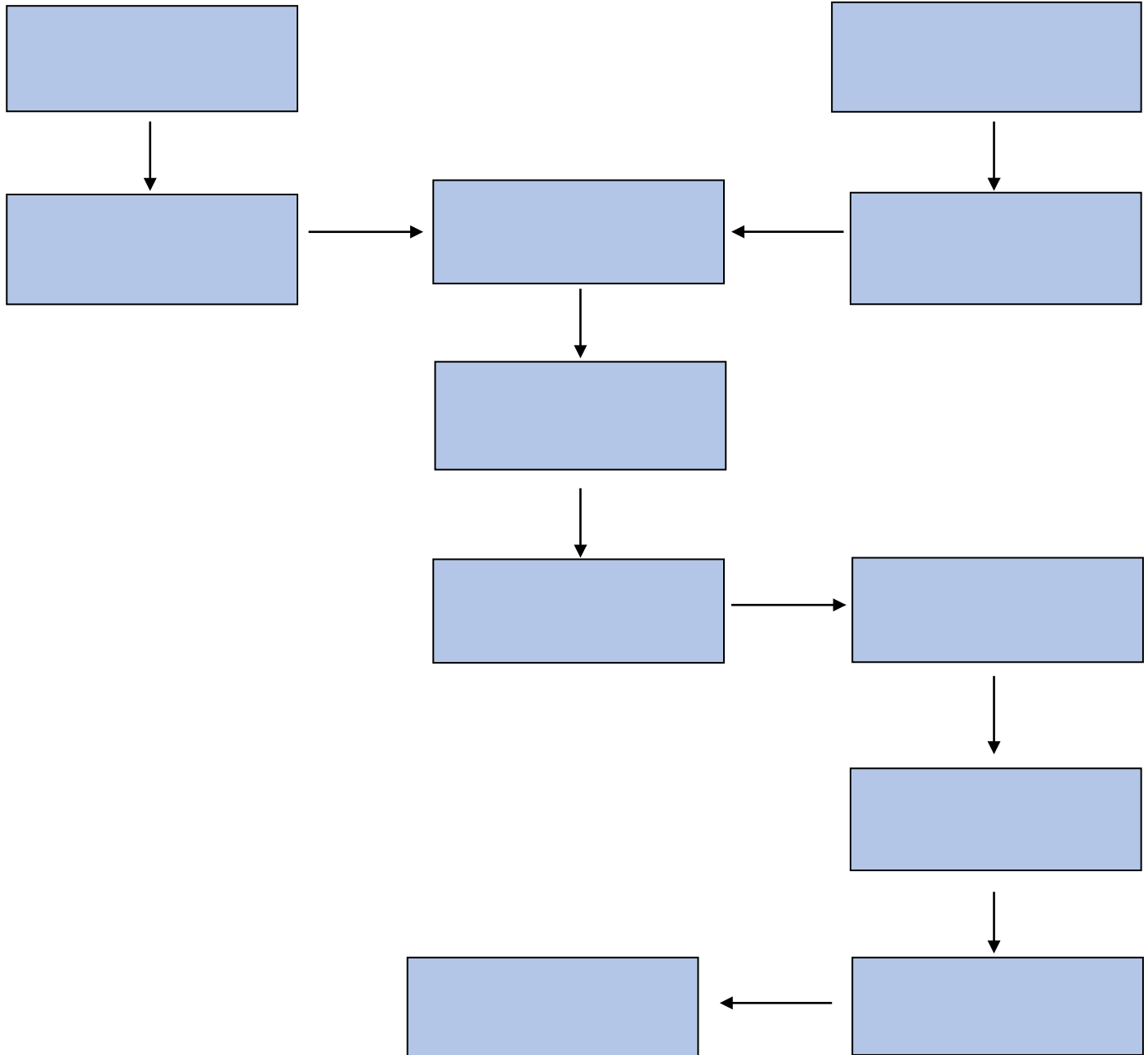
List all equipment that will be utilized for each food item prepared using this special process:

Process-Specific Description of Methods Used in Special Process

Process Flow Diagram

Create a Process Flow Diagram for each food item prepared using the special process. Process Flow Diagrams should depict each step of the special process from receiving of food products to service. Please indicate which steps represent Critical Control Points (CCPs) in the process. If you are unsure what qualifies as a CCP, utilize this [CCP Decision Tree](#). If the provided Process Flow Diagram is not appropriate for your facility, please attach a different one better suited to your operation. All [additional Process Flow Diagrams](#) must be attached, as needed.

Menu Item:	Restaurant Name & Permit Number:
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Check this box if additional Process Flow Diagrams are attached.

Hazard Analysis Chart

Complete a separate Hazard Analysis Chart for each food item prepared using the special process. Please leave unused boxes blank. [Additional Hazard Analysis Charts](#) must be attached, as needed

Menu Item:	Restaurant Name & Permit Number:
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Process Step	Potential Hazards (B) Biological (C) Chemical (P) Physical	Hazard Significant?	Justification of Decision	Preventative Measures	Is this step a CCP?

Check this box if additional Hazard Analysis Charts are attached.

HACCP Plan Chart

Complete a separate HACCP Plan Chart for each food item prepared using the special process. Please leave unused boxes blank.

[Additional HACCP Plan Charts](#) must be attached, as needed. Examples of HACCP Plan Charts can be found on the [HACCP Plan Resources website](#).

Menu Item:		Restaurant Name & Permit Number:							
Hazards	Critical Control Points	Critical Limits	Monitoring				Corrective Actions	Verification	Records
			What	How	Frequency	Who			

Check this box if additional HACCP Plan Charts are attached.

Standard Operating Procedure – Employee Health & Personal Hygiene

PURPOSE & SCOPE

This Standard Operating Procedure (SOP) describes the policy to which staff will adhere in order to ensure all foodservice employees will maintain good personal hygiene and follow proper Employee Health practices to ensure food safety.

PROCEDURES

1. Follow all Washoe County Health District Regulations Governing Food Establishments

POLICIES

1. Grooming:
 - a. Arrive at in a clean condition – clean hair and clean outer clothing.
 - b. Fingernails should be trimmed, clean, polish-free, and maintained so edges and surfaces are cleanable and not rough. No artificial nails are permitted in the food production area.
 - c. Wash hands (including under fingernails) and up to forearms vigorously and thoroughly with soap and warm water for a period of 20 seconds:
 - i. When entering the facility before work begins.
 - ii. Immediately before preparing food or handling equipment.
 - iii. As often as necessary during food preparation when contamination occurs.
 - iv. In the restroom after toilet use and when you return to your workstation.
 - v. When switching between working with raw foods and working with ready-to-eat or cooked foods.
 - vi. After touching face, nose, hair, or any other body part, and after sneezing or coughing.
 - vii. After performing any cleaning duties.
 - viii. Between each task performed and before wearing disposable gloves.
 - ix. After smoking, eating, or drinking.
 - x. Any other time an unsanitary task has been performed (i.e. taking out garbage, handling cleaning chemicals, wiping tables, picking up a dropped food item, etc.)
 - d. Wash hands only in hand sinks designated for that purpose.
 - e. Dry hands with single use towels. Turn off faucets using a paper towel to prevent recontamination of clean hands.
2. Proper Attire:
 - a. Wear appropriate clothing- clean uniform with sleeves and clean non-skid close-toes work shoes that are comfortable for standing and working on floors that can be slippery.

- b. Aprons used by employees are to be hung in a designated area when not in use. They are not to be worn in the toilet area, eating areas or locker rooms.
 - c. Use disposable gloves, or dispensing equipment such as tongs, spatulas or tissue paper when handling ready-to-eat foods that will not be heat-treated.
 - d. Change disposable gloves as often as handwashing is required. Wash hands before donning and after discarding gloves.
3. Hair restraints and jewelry:
- a. Effective hair restraints must be worn in food preparation and service areas.
 - b. Keep beard and mustaches neat and trimmed. Beard restraints are required in any food production area.
 - c. No jewelry (except a wedding band or other plain ring or medical identification bracelet) is allowed during handling of food.
4. Illness:
- a. Food employees shall report to Person in Charge when they have a symptom caused by illness, infection, or other source that is:
 - i. Associated with, diarrhea, vomiting or other acute gastrointestinal illness
 - ii. Jaundice
 - iii. Sore throat with fever
 - iv. A boil, infected wound or other lesion containing pus that is open or draining. If located on the hands or wrists, a finger cot that protects the lesion and a single use glove must be worn. Lesions on exposed portions of the arms must be protected by an impermeable cover.
 - b. Employees with gastrointestinal symptoms (vomiting and/or diarrhea) will be excluded for a minimum of 48 Hours after symptoms have stopped.
 - c. Employees with jaundice will be excluded until laboratory results indicate the individual is not currently infected with Hepatitis A.
 - d. Employees with acute respiratory infection or sore throat with fever will be excluded until symptom free. Employees could be re-assigned to activities so that there is no risk of transmitting a disease through food.
5. Cuts, Abrasions and Burns:
- a. Bandage any cut, sores, rash, lesion, abrasion or burn that has broken the skin.
 - b. Wear disposable gloves to cover bandages on hands. Change as appropriate.
 - c. Inform Person in Charge of all wounds.
6. Smoking, eating and gum chewing:
- a. Eating and drinking is prohibited in areas where contamination of exposed food, clean equipment, utensils, unwrapped single-service and single use articles could occur.
 - b. Smoke only in designated areas. No smoking or chewing tobacco shall occur inside food preparation and service areas.

- c. A closed beverage container may be used in the kitchen if the container is handled to prevent contamination.
 - d. Refrain from chewing gum or eating candy while working in food preparation areas.
7. No Bare Hand Contact with Ready To Eat (RTE) Foods
- a. Food handlers may use single service gloves, tongs, spatulas, serving spoons, deli paper and/or toothpicks to prevent contact with ready to eat food items with bare hands during food preparation and/or service.

MONITORING

- 1. Person in Charge will:
 - a. Visually inspect employees when they report to work to ensure all employees are adhering to the health and hygiene policies.
 - b. Visually monitor employee handwashing during all hours of operation.
 - c. Visually monitor employees during all hours of operation to ensure proper procedures are followed to avoid bare-hand contact with ready-to-eat foods.
 - d. Visually observe handwashing sinks to ensure all handwashing sinks are properly supplied during all hours of operation.

CORRECTIVE ACTION

- 1) Retrain any employee found not following the procedures in this SOP.
- 2) Ensure employees that are observed not washing their hands at the appropriate times are required to immediately wash their hands using the proper procedures
- 3) Ensure employees that are observed contacting ready-to-eat food with bare hands are retrained to ensure proper procedures to avoid bare hand contact with ready-to-eat foods and proper handwashing procedures.
- 4) Ensure employees exhibiting signs of illness are excluded for the period outlined in this SOP.

DATE IMPLEMENTED: _____ **BY:** _____

DATE REVIEWED: _____ **BY:** _____

DATE REVISED: _____ **BY:** _____

Cleaning and Sanitizing Food Contact Surfaces (Chemical Sanitizing Dishwasher)

PURPOSE: To prevent foodborne illness by ensuring that all food contact surfaces are properly cleaned and sanitized.

SCOPE: This procedure applies to foodservice employees involved in cleaning and sanitizing food contact surfaces.

INSTRUCTIONS:

1. Train foodservice employees on using the procedures in this SOP.
2. Follow the Washoe County Health District's requirements.
3. Follow manufacturer's instructions regarding the use and maintenance of equipment and use of chemicals for cleaning and sanitizing food contact surfaces.
4. Wash, rinse, and sanitize food contact surfaces of sinks, tables, equipment, utensils, thermometers, carts, and equipment:
 - Before each use
 - Between uses when preparing different types of raw animal foods, such as eggs, fish, meat, and poultry
 - Between uses when preparing ready-to-eat foods and raw animal foods, such as eggs, fish, meat, and poultry
 - Any time contamination occurs or is suspected
5. Wash, rinse, and sanitize food contact surfaces of sinks, tables, equipment, utensils, thermometers, carts, and equipment using the following procedure:
 - Wash surface with detergent solution.
 - Rinse surface with clean water.
 - Sanitize surface using a sanitizing solution mixed at a concentration specified on the manufacturer's label.
 - Place wet items in a manner to allow air drying.
6. For the dish machine:
 - Check with the dish machine manufacturer to verify that the information on the data plate is correct.
 - Refer to the information on the data plate for determining wash, rinse, and sanitization rinse temperatures; sanitizing solution concentrations; and water pressures, if applicable.
 - Follow manufacturer's instructions for use.

MONITORING:

Foodservice employees will:

1. During all hours of operation, visually and physically inspect food contact surfaces of equipment and utensils to ensure that the surfaces are clean.
2. For the dish machine, daily:
 - Visually monitor that the water and the interior parts of the machine are clean and free of debris.
 - Continually monitor the temperature and pressure gauges, if applicable, to ensure that the machine is operating according to the data plate.
 - Check the sanitizer concentration on a recently washed food-contact surface by using a chlorine test kit. The chlorine concentration of the rinse should be 50 to 100 parts per million. Use the reference colors on the test kit to determine if the proper concentration has been reached.

CORRECTIVE ACTION:

1. Retrain any foodservice employee found not following the procedures in this SOP.
2. Wash, rinse, and sanitize dirty food contact surfaces. Sanitize food contact surfaces if it is discovered that the surfaces were not properly sanitized. Discard food that comes in contact with food contact surfaces that have not been sanitized properly.
3. For the dish machine:
 - Drain and refill the machine periodically and as needed to keep the water clean.
 - Contact the appropriate individual(s) to have the machine repaired if the machine is not reaching the proper wash or rinse temperature indicated on the data plate.
 - For a chemical sanitizing dish machine, check the level of sanitizer remaining in bulk container. Fill, if needed. “Prime” the machine according to the manufacturer’s instructions to ensure that the sanitizer is being pumped through
 - If the dish machine is not dispensing the approve concentration of sanitizer, rinse, and sanitize in the 3-compartment sink until the machine is repaired or use disposable single service/single-use items. The dish machine may not be used if it is not dispensing the required concentration of sanitizer.

VERIFICATION AND RECORD KEEPING:

The foodservice manager will verify that foodservice employees have taken the required temperatures and tested the sanitizer concentration by visually monitoring foodservice employees during the shift and confirming their results.

DATE IMPLEMENTED: _____ **BY:** _____

DATE REVIEWED: _____ **BY:** _____

DATE REVISED: _____ **BY:** _____

Cleaning and Sanitizing Food Contact Surfaces SOP

(High Temperature Dishwasher)

PURPOSE: To prevent foodborne illness by ensuring that all food contact surfaces are properly cleaned and sanitized.

SCOPE: This procedure applies to foodservice employees involved in washing, rinsing, and sanitizing food contact surfaces.

INSTRUCTIONS:

1. Train foodservice employees on using the procedures in this SOP.
2. Follow Washoe County Health District's requirements.
3. Follow manufacturer's instructions regarding the use and maintenance of equipment and use of chemicals for cleaning and sanitizing food contact surfaces.
4. Wash, rinse, and sanitize food contact surfaces of sinks, tables, equipment, utensils, thermometers, carts, and equipment:
 - Before each use
 - Between uses when preparing different types of raw animal foods, such as eggs, fish, meat, and poultry
 - Between uses when preparing ready-to-eat foods and raw animal foods, such as eggs, fish, meat, and poultry
 - Any time contamination occurs or is suspected
5. For the high temperature dish machine:
 - Check with the dish machine manufacturer to verify that the information on the data plate is correct.
 - Refer to the information on the data plate for determining wash, rinse, and sanitization (final) rinse temperatures; sanitizing solution concentrations; and water pressures, if applicable.
 - Follow manufacturer's instructions for use.
 - Ensure that food contact surfaces reach a surface temperature of **160F** or above when using hot water to sanitize.

MONITORING

1. During all hours of operation, visually and physically inspect food contact surfaces of equipment and utensils to ensure that the surfaces are clean.
2. For the dish machine, on a daily basis:
 - Visually monitor that the water and the interior parts of the machine are clean and free of debris.
 - Continually monitor the temperature and pressure gauges, if applicable, to ensure that the machine is operating according to the data plate.
3. A minimum dishwashing temperature of 160F will be verified by:

CORRECTIVE ACTION:

1. Retrain any foodservice employee found not following the procedures in this SOP.
2. Wash, rinse, and sanitize dirty food contact surfaces. Sanitize food contact surfaces if it is discovered that the surfaces were not properly sanitized. Discard food that comes in contact with food contact surfaces that have not been sanitized properly.
3. For the dish machine:
 - Drain and refill the machine periodically and as needed to keep the water clean.
 - Contact the appropriate individual(s) to have the machine repaired if the machine is not reaching the proper wash or rinse temperature indicated on the data plate.
 - For the dish machine, retest by running the machine again. If the appropriate surface temperature is still not achieved on the second run, contact the appropriate individual(s) to have the machine repaired. Wash, rinse, and sanitize in the 3-compartment sink until the machine is repaired or use disposable single service/single-use items. The dish machine may not be used if it is not reaching the approved temperature that is indicated on the data plate.

VERIFICATION AND RECORD KEEPING:

The foodservice manager will verify that foodservice employees have taken the required temperatures by visually monitoring foodservice employees during the shift and confirming their results.

DATE IMPLEMENTED: _____ **BY:** _____

DATE REVIEWED: _____ **BY:** _____

DATE REVISED: _____ **BY:** _____

Cleaning and Sanitizing Food Contact Surfaces SOP

(Three Compartment Sink)

PURPOSE: To prevent foodborne illness by ensuring that all food contact surfaces are properly cleaned and sanitized.

SCOPE: This procedure applies to foodservice employees involved in cleaning and sanitizing food contact surfaces.

INSTRUCTIONS:

1. Train foodservice employees on using the procedures in this SOP.
2. Follow the Washoe County Health District's requirements.
3. Follow manufacturer's instructions regarding the use and maintenance of equipment and use of chemicals for cleaning and sanitizing food contact surfaces.
4. Wash, rinse, and sanitize food contact surfaces of sinks, tables, equipment, utensils, thermometers, carts, and equipment:
 - Before each use
 - Between uses when preparing different types of raw animal foods, such as eggs, fish, meat, and poultry
 - Between uses when preparing ready-to-eat foods and raw animal foods, such as eggs, fish, meat, and poultry
 - Any time contamination occurs or is suspected
5. Wash, rinse, and sanitize food contact surfaces of sinks, tables, equipment, utensils, thermometers, carts, and equipment using the following procedure:
 - Wash surface with detergent solution.
 - Rinse surface with clean water.
 - Sanitize surface using a sanitizing solution mixed at a concentration specified on the manufacturer's label.
 - Place wet items in a manner to allow air drying.
6. Setup and use the 3-compartment sink in the following manner:
 - In the first compartment, wash with a clean detergent solution at or above 110 °F or at the temperature specified by the detergent manufacturer.
 - In the second compartment, rinse with clean water.
 - In the third compartment, sanitize with sanitizing solution mixed at a concentration of
Test the chemical sanitizer concentration by using an appropriate test kit.

MONITORING:

Foodservice employees will:

1. During all hours of operation, visually and physically inspect food contact surfaces of equipment and utensils to ensure that the surfaces are clean.
2. For the 3-compartment sink, daily:
 - Visually monitor that the water in each compartment is clean.
 - Take the water temperature in the first compartment of the sink by using a calibrated thermometer.
 - Test sanitizer concentrations using:

CORRECTIVE ACTION:

1. Retrain any foodservice employee found not following the procedures in this SOP.
2. Wash, rinse, and sanitize dirty food contact surfaces. Sanitize food contact surfaces if it is discovered that the surfaces were not properly sanitized. Discard food that comes in contact with food contact surfaces that have not been sanitized properly.
3. For the 3-compartment sink:
 - Drain and refill compartments periodically and as needed to keep the water clean.
 - Adjust the water temperature by adding hot water until the desired temperature is reached.
 - Add more sanitizer or water, as appropriate, until the proper concentration is achieved.

VERIFICATION AND RECORD KEEPING:

The foodservice manager will verify that foodservice employees have taken the required sanitizer concentration by visually monitoring foodservice employees during the shift and confirming their results.

DATE IMPLEMENTED: _____ **BY:** _____

DATE REVIEWED: _____ **BY:** _____

DATE REVISED: _____ **BY:** _____

Employee Health Policy

Establishment Name: _____

Establishment Address: _____

The purpose of this agreement is to inform conditional employees and current employees of this food establishment of the responsibility to notify the person in charge (PIC) when they experience any of the conditions listed so the PIC can take appropriate steps to prevent the transmission of foodborne illness.

I agree to report these symptoms whether they occur at work **or** outside of work:

- 1) Diarrhea;
- 2) Vomiting;
- 3) Jaundice;
- 4) Sore throat with a fever; and/or,
- 5) Infected cuts, wounds, or lesions containing pus on exposed parts of the body (e.g. hands, wrists, etc.)

I understand that if I am experiencing diarrhea and vomiting, I will not be able to return to work for at least **24hrs after the symptoms have stopped.**

I agree to report if I am diagnosed as being ill with Norovirus, Salmonella typhii (typhoid fever), any Shigella species, E. coli 0157:H7, other Enterohemorrhagic or Chiga toxin-producing E. coli, Hepatitis A virus any other communicable disease that is considered reportable as required in the Nevada Revised Statutes (NRS) 441A.

I agree to follow all employee health, restrictions, exclusions and reporting requirements as required in section 030.020 of the Regulations of the Washoe County District Board of Health Governing Food Establishments.

Current/Conditional Food Employee Initial Name: _____

Current/Conditional Food Employee Initial Signature: _____ Date: _____

Food Establishment Representative Name: _____

Food Establishment Representative Signature: _____ Date: _____

Freeze-drying Batch Log

Freeze-drying Batch Logs must be maintained on-site for six months. The person-in-charge must review batch logs weekly and initial in the last column.

Facility Name: _____

Facility Address: _____

Date	Food Item	Start time	End Time	Corrective Action	Verification

FOOD SAFETY CHECKLIST

The Food Safety Checklist should be used by the person in charge (PIC) to determine compliance with food safety practices that prevent foodborne illness in their facility. This checklist:

- is not comprehensive. Your facility will be evaluated on other items of compliance during an inspection.
- only includes items that, if found out of compliance, *must* be immediately corrected on site during an inspection and directly impacts the facility's inspection score.
- may be used with the [Food Establishment Field Inspection Guide](#) to determine how items would be marked and corrected during a routine inspection.

	In	Out	#	Description
Supervision; Employee Health & Hygiene			1.	PIC is present; PIC is a Certified Food Protection Manager (Risk Category 2s & 3s); PIC demonstrates active managerial control over employees and food safety risks at facility.
			2.	Facility has written procedures for the cleanup of vomit/diarrhea; employees are aware of exclusion policy.
			3.	Food handlers cover sores/cuts on hands with bandage and gloves; PIC restricts or excludes sick employees.
			4.	Employee drinks have fitted lid and straw; employees eat, taste, and drink in a way that avoids cross contamination.
			5.	Employees with runny nose, sneezing, coughing, and watery eyes are restricted from food/equipment.
Preventing Contamination by Hands			6.	Employees wash hands for at least 20 seconds as often as needed.
			7.	Employees use utensils or gloved hands to prevent bare hand contact with ready to eat (RTE) food.
			8a.	Handwashing sinks are clear and accessible; sinks are used for handwashing only.
			8b.	All handwashing sinks are stocked with soap and paper towels; handwashing signs are posted at each sink.
Approved Sources			9a.	All food comes from an approved source.
			9b.	All molluscan shellfish come from sources listed on the Interstate Certified Shellfish Shippers List (ICSSL).
			9c.	All game animals and wild mushrooms come from an approved source.
			10.	All time/temperature control for safety (TCS) food is received at ≤ 41°F; eggs and milk are received at ≤ 45°F.
			11.	Food is honestly presented and free from mold; packages and cans of food are in good condition.
			12a.	Facility maintains annual parasite destruction letters for fish served raw or undercooked.
Food Protected from Contamination			12b.	Shellstock tags are stored with the shellstock until last shellfish is sold; tags are marked with the last date of sale; tags for past 90 days are stored chronologically on site.
			13a.	All raw animal foods are stored away from or underneath RTE foods in all equipment.
			13b.	All raw animal foods are stored away from each other, or in order of minimum cook temperature from top to bottom: fish/seafood/whole muscle meat/raw shell eggs/pork, then ground meats, then poultry and stuffed foods.
			13c.	All food is stored or handled in a way that protects it from environmental contamination.
			14.	All in-use food prep surfaces such as cutting boards and utensils that contact TCS food are washed, rinsed, and sanitized every 4 hours minimum; equipment/utensils not in use are clean to sight and touch.
			15a.	Food is not re-served after being sold or served to a consumer.
			15b.	Food that has been contaminated or obtained from an unapproved source is discarded.

	In	Out	#	Description
Control of TCS Foods			16.	All raw animal foods are cooked to a proper final cook temperature; facility is following pre-approved non-continuous cooking process.
			17.	Commercially processed RTE food is rapidly reheated to 135°F within 2 hours; RTE food prepared in-house is rapidly reheated to 165°F within 2 hours.
			18.	TCS food is cooled from 135°F to 70°F within 2 hours and from 70°F to 41°F within an additional 4 hours, verified using a calibrated thermometer; food prepped from ambient temperature is cooled to 41°F within 4 hours.
			19.	All TCS food is hot held at 135°F or above; roasts are hot held at 130°F or above.
			20.	All TCS food is cold held at 41°F or below.
			21a.	All TCS food prepared on site, or opened from a commercial container, and held for more than 24 hours is date marked and discarded after 7 days; date marking system is clear and understood by all employees.
			21b.	When using time as a public health control, written procedures are maintained on site and food is marked to be discarded after 4 hours.
Consumer Advisory			22.	Alcohol advisory is posted behind the bar or in women's restroom; if serving raw or undercooked meat, facility has raw/undercooked advisory on menu and foods are identified by asterisking them to the advisory.
Susceptible Populations			23.	Facilities specifically serving highly susceptible populations do not offer prohibited foods such as undercooked animal products, raw seed sprouts or unpasteurized juice, milk, or shell eggs.
Food Additives; Toxic Substances			24.	Approved food additives are used properly.
			25a.	All chemical bottles are labeled with contents; chemicals, first-aid items, and personal care products are stored underneath or separately from food; sanitizer applied to food contact surfaces is at appropriate concentration (50-100ppm chlorine, 200-400ppm quat).
			25b.	Poisonous or toxic chemicals held for retail sale are stored separately from or beneath food and single-use items.
Approved Procedures			26.	Facility has an approved operational plan or HACCP plan for specialized processes conducted; facility is following plan and keeps records on site; mobile unit is following Servicing Area Agreement.
Equipment and Facilities			27.	Facility is free from pest activity; facility receives regular service from a licensed pest control operator; facility does not allow live animals (other than service animals) inside
			28.	All dishwashing sanitizers used at proper concentration; surfaces of dishes reach 160°F and rinse gauge reaches 180°F in high temperature dishwasher; dishes washed in a 3-compartment sink and clean-in-place equipment are washed in the correct order (wash, rinse, sanitize, and air dry); test strips are available for sanitizer concentration and for high temperature dishwasher.
			29.	Water comes from an approved source and is free from contamination; hot and cold water available at sinks.
			30.	Facility is free from sewage overflows or back-ups; wastewater is properly disposed of.

PIC Signature: _____

Date: _____



Is your facility struggling to keep any of these items "IN"? We can help!
Find food safety brochures, procedures, posters, logs, and more in our online [Resource Library](#).